

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**(Docket No. 12778US03)**

In the Application of:	)	<b><u>Electronically Filed on December 17, 2007</u></b>
	)	
Saliba	)	
	)	
Serial No.: 10/786,196	)	
	)	
Filed: February 25, 2004	)	
	)	
For: Method and Apparatus for Stock and Index	)	
Option Price Improvement, Participation,	)	
and Internalization	)	
	)	
Examiner: Patel, Jagdish	)	
	)	
Group Art Unit: 3693	)	
	)	
Confirmation No. 2400	)	

**AMENDMENT UNDER 37 C.F.R. § 1.111**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This Paper responds to the Office Action mailed November 7, 2007. The Applicant respectfully requests reconsideration of the claim rejections in view of the following amendments and remarks.